

2823

# FREE TRANSMITTAL FY 2004

Complete if Known

Application Serial Number	09/917,224
Filing Date	July 27, 2001
First Named Inventor	Klein
Group Art Unit	2823
Examiner Name	Nguyen, K.
Attorney Docket No.	NEX-006C

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## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☒ Applicant claims small entity status.

## FEE CALCULATION

### 1. FILING FEE

#### Large Entity

Fee (\$)	Fee Description	Fee Paid
770	Utility filing fee	
340	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 86.00 =

☐ Multiple Dependent Claim(s), if any \$290.00 =

TOTAL:  
SMALL ENTITY DISCOUNT:  
SUBTOTAL (1) (\$)

### 2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 86.00 =

☐ First Presentation of Multiple Dep. Claim + \$290.00 =

TOTAL: (\$)  
SMALL ENTITY DISCOUNT: (\$)  
SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
130	65	Surcharge - late filing fee or oath
50	25	Surcharge - late provisional filing fee or cover sheet
130	130	Non-English specification
2,520	2,520	Request for ex parte reexamination
110	55	Extension for reply within first month
420	210	Extension for reply within second month
950	475	Extension for reply within third month
1480	740	Extension for reply within fourth month
2010	1005	Extension for reply within fifth month
330	165	Notice of Appeal
330	165	Filing a brief in support of an appeal
290	145	Request for oral hearing
130	130	Petitions to the Commissioner
180	180	Submission of Information Disclosure Statement
770	385	Filing a submission after final rejection (37 CFR 1.129(a))
770	385	For each additional invention to be examined (37 CFR 1.129(b))
100	100	Certificate of Correction for applicant's error
110	55	Submission of Terminal Disclaimer

Other fee (Specify)

Other fee (Specify)

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SUBTOTAL (3) (\$ 475.00)

SUBTOTAL (1)

SUBTOTAL (2)

SUBTOTAL (3) 475.00

TOTAL (\$ 475.00)

## CORRESPONDENCE ADDRESS

Direct all correspondence to:

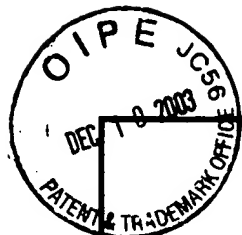
Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower-125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,

Date: December 8, 2003  
Reg. No.: 45,054  
Tel. No.: (617) 248-7376  
Fax No.: (617) 248-7100

Jamie H. Rose  
Attorney for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower-125 High Street  
Boston, MA 02110



# TRANSMITTAL FORM

Application Serial Number	09/917,224
Filing Date	July 27, 2001
First Named Inventor	Klein
Group Art Unit	2823
Examiner Name	Nguyen, K.
Attorney Docket No.	NEX-006C
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

- |   |   |   |
|---|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]<br><br><input checked="" type="checkbox"/> Petition for Extension of Time<br><br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application<br><br><input type="checkbox"/> Formal Drawing(s)<br><br><input type="checkbox"/> Request For Continued Examination (RCE) Transmittal<br><br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> CD(s) for large table or computer program<br><br><input type="checkbox"/> Amendment After Allowance<br><br><input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences<br><br><input type="checkbox"/> Appeal Brief (in triplicate)<br><br><input type="checkbox"/> Status Inquiry<br><br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><br><input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8<br><br><input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|---|---|---|

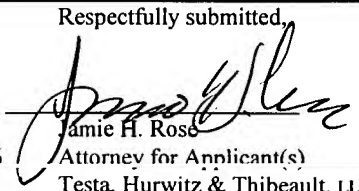
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## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
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## SIGNATURE BLOCK

Respectfully submitted,  
  
Date: December 8, 2003  
Reg. No. 45,054  
Tel. No.: (617) 248-7376  
Fax No.: (617) 248-7100  
Attorney for Applicant(s)  
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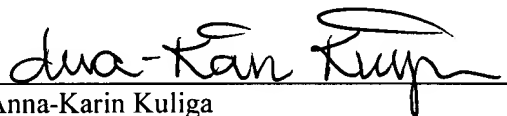
PATENT  
Attorney Docket No. NEX-006C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Klein  
SERIAL NO.: 09/917,224 GROUP NO.: 2823  
FILING DATE: July 27, 2001 EXAMINER: Nguyen K.  
TITLE: SUBSTRATE PROCESSING PALLET AND RELATED  
SUBSTRATE PROCESSING METHOD AND MACHINE

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 8th day of December, 2003.

  
Anna-Karin Kuliga

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is/are:

1. Transmittal Form (1 page);
2. Fee Transmittal (1 page);
3. Response (4 pages);
4. Petition for Extension of Time (1 page);
5. Check in the amount of \$475.00; and
6. Return receipt postcard.

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